

**ATTORNEY FEES AND RECOVERABLE EXPENSES UNDER THE
EQUAL ACCESS TO JUSTICE ACT (“EAJA”)**

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ATTORNEY FEES AND RECOVERABLE EXPENSES UNDER THE EQUAL ACCESS TO JUSTICE ACT (“EAJA”)

I. THE STATUTE

28 U.S.C. § 2412(d)(1)(A) provides:

[A] court shall award to a prevailing party other than the United States fees and other expenses, in addition to any costs awarded pursuant to subsection (a), incurred by that party in any civil action (other than cases sounding in tort), including proceedings for judicial review of agency action, brought by or against the United States in any court having jurisdiction of that action, unless the court finds that the position of the United States was substantially justified or that special circumstances make an award unjust.

Eligible parties include “an individual whose net worth did not exceed \$2,000,000 at the time the civil action was filed.” *Id.* § 2412(d)(2)(B)(i).

Section 2412(d)(1)(B) requires that an EAJA applicant,

within thirty days of final judgment in the action, submit to the court an application for fees and other expenses which shows that the party is a prevailing party and is eligible to receive an award under this subsection, and the amount sought, including an itemized statement from any attorney or expert witness representing or appearing in behalf of the party stating the actual time expended and the rate at which fees and other expenses were computed. The party shall also allege that the position of the United States was not substantially justified. Whether or not the position of the United States was substantially justified shall be determined on the basis of the record (including the record with respect to the action or failure to act by the agency upon which the civil action is based) which is made in the civil action for which fees and other expenses are sought.

See also id. § 2412(d)(2)(D) (defining “position of the United States” to mean, “in addition to the position taken by the United States in the civil action, the action or failure to act by the agency upon which the civil action is based”).

“Fees and other expenses” include:

the reasonable expenses of expert witnesses, the reasonable cost of any study, analysis, engineering report, test, or project which is found by the court to be necessary for the preparation of the party’s case, and reasonable attorney fees[.] (The amount of fees awarded under this subsection shall be based upon prevailing market rates for the kind and quality of the services furnished, except that (i) no expert witness shall be compensated at a rate in excess of the highest rate of compensation for expert witnesses paid by the United States; and (ii) attorney fees shall not be awarded in excess of \$125 per hour unless the court determines that an increase in the cost of living or a special factor, such as the limited availability of qualified attorneys for the proceedings involved, justifies a higher fee.)

Id. § 2412(d)(2)(A). *But see id.* § 2412(d)(2)(D) (stating that “fees and expenses may not be awarded to a party for any portion of the litigation in which the party has unreasonably protracted the proceedings”).

II. CASELAW

This section outlines selected Ninth Circuit immigration cases addressing EAJA. As EAJA applies in other contexts as well, applicants should also consult precedents beyond the immigration field.

A. Filing Deadline

“The thirty-day deadline to file an application for attorney’s fees under EAJA does not begin to run until after the ninety-day period during which a party may seek a writ of certiorari from the United States Supreme Court. ... [T]he thirty-day EAJA fee application period does not begin to run until ninety days after an order remanding an immigration matter to the BIA, even if such an order is at the request of the government.” *Li v. Keisler*, 505 F.3d 913, 916-17 (9th Cir. 2007) (order) (citations omitted). To be timely, an application must be received, not mailed, on or before the deadline. *See Arulampalam v. Gonzales*, 399 F.3d 1087, 1090 (9th Cir. 2005) (order).

EAJA's thirty-day deadline is not affected by when this court's mandate issues. "[T]he Supreme Court's explicit rule starts the time to file a petition for a writ of certiorari on the date of judgment or order to be reviewed, not on the date mandate issues. Running from the date of judgment or order, there are ninety days before that petition is untimely, rendering the order or judgment 'final' for EAJA purposes." *Zheng v. Ashcroft*, 383 F.3d 919, 921 (9th Cir. 2004) (order). "Because filing a petition for rehearing or a petition for rehearing en banc tolls the time period for filing a petition for a writ of certiorari, *see* Sup. Ct. R. 13(3), it follows that the EAJA clock [is] similarly tolled." *Id.* at 921 n.3.

B. Prevailing Party

Prevailing party status requires a material alteration of the legal relationship between the parties that was judicially sanctioned. *Li v. Keisler*, 505 F.3d 913, 917 (9th Cir. 2007) (order) (citing *Buckhannon Bd. and Care Home, Inc. v. West Virginia Dep't of Health and Human Res.*, 532 U.S. 598, 604-05 (2001)). "[T]he petitioner's success in obtaining the desired relief from the federal court is critical to establishing prevailing party status under *Buckhannon*, regardless of whether the federal court's order addressed the merits of the underlying case." *Id.* The court has held that a Circuit Mediator's remand order satisfies this standard. *Id.* at 918.

When a petitioner has obtained a remand for further consideration, he or she is a prevailing party. *Rueda-Menicucci v. INS*, 132 F.3d 493, 495 (9th Cir. 1997). *See also Carbonell v. INS*, 429 F.3d 894, 899-902 (9th Cir. 2005) (holding that a district court's order incorporating a voluntary stipulation to a stay of deportation materially altered the relationship between Carbonell and the government, and that the alteration was judicially sanctioned, making the applicant a prevailing party); *Perez-Arellano v. Smith*, 279 F.3d 791, 795 (9th Cir. 2002) (holding that applicant who was granted naturalization at the administrative level while the federal court action was held in abeyance was not a prevailing party because he "unmistakably did not gain a change in his legal relationship with the INS by judgment or consent decree").

C. Position of the United States

"[T]he 'position of the United States' as defined by EAJA encompasses both the DHS's litigation position and the underlying agency decision rendered by the

BIA or an IJ” *Thangaraja v. Gonzales*, 428 F.3d 870, 874 (9th Cir. 2005) (order).

D. Substantial Justification

“The government bears the burden of demonstrating substantial justification.” *Thangaraja v. Gonzales*, 428 F.3d 870, 874 (9th Cir. 2005) (order). “‘Substantial justification’ in this context means ‘justification to a degree that could satisfy a reasonable person,’ *Pierce v. Underwood*, 487 U.S. 552, 565 (1988).” *Al-Harbi v. INS*, 284 F.3d 1080, 1084 (9th Cir. 2002) (order). The court has “interpreted the term substantial justification as describing a position that has a reasonable basis both in law and fact.” *Renee v. Duncan*, 686 F.3d 1002, 1017 (9th Cir. 2012) (internal quotation marks, alterations, and citation omitted). It is a “decidedly unusual case in which there is substantial justification under the EAJA even though the agency’s decision was reversed as lacking in reasonable, substantial and probative evidence in the record.” *Id.* at 1085 (internal quotation marks and citation omitted); *see also Thangaraja*, 428 F.3d at 874.

When the court “decide[s] whether the government’s litigation position is substantially justified, the EAJA ... favors treating a case as an inclusive whole, rather than as atomized line items.” *Al-Harbi*, 284 F.3d at 1084-85 (internal quotation marks and citation omitted).

The government’s failure to address contrary circuit precedent weighs against substantial justification. *See Thangaraja*, 428 F.3d at 874-75. “Relitigation of a previously decided issue is a strong factor weighing against the government in determining substantial justification.” *Ramon-Sepulveda v. INS*, 863 F.2d 1458, 1460 (9th Cir. 1988) (order) (internal quotation marks and citation omitted). Whether a BIA opinion is consistent with caselaw in other circuits “is irrelevant to whether the government was substantially justified” when Ninth Circuit law is to the contrary. *Ratnam v. INS*, 177 F.3d 742, 743 n.1 (9th Cir. 1999) (order).

“A lack of judicial precedent adverse to the government’s position does not preclude a fee award under the EAJA.” *Ramon-Sepulveda*, 863 F.2d at 1459. Subsequent caselaw “only support[s] a finding that the government’s position was ‘substantially justified’ if the government contested the petitions ... on the ground on which those cases were decided.” *Abela v. Gustafson*, 888 F.2d 1258, 1265 (9th

Cir. 1989). Partial concessions by the government do not necessarily constitute substantial justification, as the court “expect[s] nothing less than such candid and rigorous evaluations of the agency’s explanations of its decisions in all parties’ briefs.” *Thangaraja*, 428 F.3d at 875.

When the government sought and was granted a voluntary remand, the court will assess an EAJA fees request by examining the government’s reasons:

If the government seeks a remand because the record indicates that the Agency’s prior action was not consistent with clearly established law at the time the case was before it, then the government’s position would not be substantially justified and the petitioner would be entitled to EAJA fees. In other words, the petitioner would be entitled to reasonable attorney’s fees where the government requests a remand to reevaluate the prior proceedings due to a misapplication of, or failure to apply, controlling law and where there is no new law or claims of new facts.

Such situations are distinguishable from cases where the government seeks a remand due to intervening case law, because of unclear controlling case law, or where the Agency should have an opportunity to adjudicate a new claim for relief in the first instance. In cases such as these, the government’s position may have been substantially justified at the time the Agency acted, even though subsequent, novel considerations have since undercut the underlying Agency decision.

Li v. Keisler, 505 F.3d 913, 919 (9th Cir. 2007) (order) (citation omitted); *see also id.* at 921 (“Because at least some flaws in the IJ’s and BIA’s orders were legal flaws at the time the case was before the Agency, and not due to some later legal or factual development, we cannot say that the government’s position was substantially justified at all levels.”). *Compare id.* at 920 (holding in a companion remand case that “[i]n the absence of guidance from this court, the government’s position was substantially justified”).

E. Enhanced Fees

Enhanced hourly rates in immigration cases are not available “pursuant to the statutory exception for limited availability of qualified attorneys where the litigation in question required no ‘distinctive knowledge’ or ‘specialized skill.’” *Thangaraja v. Gonzales*, 428 F.3d 870, 876 (9th Cir. 2005) (order) (citation omitted). Although “a speciality in immigration law could be a special factor warranting an enhancement of the statutory rate,” *Rueda-Menicucci v. INS*, 132 F.3d 493, 496 (9th Cir. 1997), counsel must establish that his or her distinct qualifications were necessary to litigating the particular case, *see id.* *See also Thangaraja*, 428 F.3d at 876 (citing *Rueda-Menicucci*, 132 F.3d at 496); *Johnson v. Gonzales*, 416 F.3d 205, 213 (3d Cir. 2005); *Muhur v. Ashcroft*, 382 F.3d 653, 656 (7th Cir. 2004) (Posner, J.). *See generally United States v. Real Prop. Known as 22249 Dolorosa St.*, 190 F.3d 977, 985 (9th Cir. 1999) (as amended) (requiring for enhanced fees a showing that “no suitable counsel would have taken on claimants’ case at the statutory rate”).

See also Nadarajah v. Holder, 569 F.3d 906 (9th Cir. 2009) (order) (attached to order denying motion for reconsideration is the Appellate Commissioner’s order awarding attorneys’ fees, which details the calculation of fees).

III. COURT PROCEDURES

Ninth Circuit Rule 39-1 addresses “Costs and Attorneys Fees on Appeal.” Rule 39-1.6(b) states:

A request for an award of attorneys fees must be supported by a memorandum showing that the party seeking fees is legally entitled to them and must be accompanied by [EAJA Form AO 291, discussed below] or a document that contains substantially the same information, along with:

- (1) a detailed itemization of the tasks performed each date and the amount of time spent by each lawyer and paralegal on each task;
- (2) a showing that the hourly rates claimed are legally justified [see statutory maximums discussed below]; and

(3) an affidavit or declaration attesting to the accuracy of the information.

Additionally, “[a]ll applications must include a statement that sets forth the application’s timeliness.” *Id.*

Ninth Circuit Rule 39-1.7 states that oppositions to requests for attorneys fees, and subsequent replies, must be filed according to the “time periods set forth in [Federal Rule of Appellate Procedure] 27(a)(3)(A) and (4) for responses and replies to motions” Where the government does not file an opposition, the court will grant the application in a clerk order, and panels have discretion to grant an application without reaching the merits when the government fails timely to file a request for extension of time to oppose an application. *Gwaduri v. INS*, 362 F.3d 1144, 1145-46 (9th Cir. 2004) (order); *see also* Gen. Ord. app. A, ¶ 50.

- Form AO 291, Application for Fees and Other Expenses Under the Equal Access to Justice Act, is available on the Ninth Circuit website:

<http://www.ca9.uscourts.gov/datastore/uploads/forms/EAJA-Fees.pdf>

- The court annually posts a Notice regarding the statutory maximum rates under EAJA. The most recent notice is available at:

http://www.ca9.uscourts.gov/content/view.php?pk_id=0000000039

The Notice currently states:

Pursuant to the Equal Access to Justice Act (“EAJA”), 28 U.S.C. § 2412 (d)(2)(A), *Thangaraja v. Gonzales*, 428 F.3d 870, 876-77 (9th Cir. 2005), and Ninth Circuit Rule 39-1.6, the applicable statutory maximum hourly rates under EAJA, adjusted for increases in the cost of living, are as follows:

For work performed in:

First Half Year 2012: \$183.73

2011: \$180.59
2010: \$175.06
2009: \$172.24
2008: \$172.85
2007: \$166.46
2006: \$161.85
2005: \$156.79
2004: \$151.65
2003: \$147.72
2002: \$144.43
2001: \$142.18