

APPLICATION FORM

UNITED STATES BANKRUPTCY JUDGE
DISTRICT OF _____
Division(s)

A. Biographical Information

1. Name (Last) (First) (Middle)

Other names used (if any)

2. Residence Street (no P.O. Boxes)

City, State and Zip Code

() Home Telephone () Cell Phone

Email Address

3. Business address Name

Street (no P.O. Boxes)

City, State and Zip Code

() Business Telephone () Business Fax

Email Address

4. The Office of Circuit Executive should send correspondence to:

- Residence Business

5. Length of residence in state _____

6. Country of citizenship _____

If you are a naturalized US citizen, please state the date and place of naturalization:

7. Have you applied for any bankruptcy judgeship vacancies within the US Courts within the last five years? Yes No

If yes, please list the year(s) of your application(s) and the court(s) for which you applied:

How did you first learn of this vacancy?

Announcement? Where posted? _____

Publication/Ad? Name of publication? _____

Bar Association? Which one? _____

Court (please specify): _____

Other (please specify): _____

APPLICATION SUMMARY

Name:

City of Residence:

Present Work Affiliation:

Position(s):

Since:

Five-Year Practice History

Bankruptcy ____%; Commercial Law ____%; Gen. Civil Law ____%; Other ____%

Previous Work Affiliations (last 15 years)

<u>Dates</u>	<u>Organization</u>	<u>Position</u>	<u>Location</u>
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Education

Undergraduate:

Degree:

Year:

Law School:

Degree:

Year:

Other School:

Degree:

Year:

Special achievements, recognition, publications, and dates (academic or otherwise):

Professional, community, or public service activities/awards and dates:

B. Present Work Affiliation

1. Your title or position _____

Dates of affiliation _____

2. Previous title or position (if applicable): _____

Dates of affiliation (if applicable): _____

3. Name of employer _____

4. Your supervisor or managing partner's name and title or position _____

5. May this employer be contacted about your application for this position?

_____ Yes _____ No

6. Give a synopsis of this position including nature of practice and types of matters accounting for most of your time.

C. Past Work Affiliations

Prior positions (list experience for last fifteen years, excluding your current position)

C.1 Employer _____

Dates of employment _____

Address _____

Telephone (_____) _____

Supervisor/managing partner _____

Positions held:
(e.g., associate, partner)

Dates held:

Duties _____

Reason for leaving _____

C.2 Employer _____

Dates of employment _____

Address _____

Telephone (_____) _____

Supervisor/managing partner _____

Positions held:
(e.g., associate, partner)

Dates held:

Duties _____

Reason for leaving _____

C.3 Employer _____

Dates of employment _____

Address _____

Telephone (_____) _____

Supervisor/managing partner _____

Positions held:
(e.g., associate, partner)

Dates held:

Duties _____

Reason for leaving _____

C.4 Employer _____

Dates of employment _____

Address _____

Telephone (_____) _____

Supervisor/managing partner _____

Positions held:

Dates held:

(e.g.: associate, partner)

Duties _____

Reason for leaving _____

D. Description of Legal Practice

1. Estimate the percentage of your total time in the last ten years that you spent working on:

Bankruptcy matters _____ %
 Other commercial law _____ %
 General civil law _____ %
 Other* _____ % _____

2. Estimate the percentage of your total time in the last ten years that you spent working on:

Litigation (trial, motions, depositions) _____ %
 Negotiations _____ %
 Legal research _____ %
 Legal drafting and writing _____ %
 Supervising the legal work of others _____ %
 Office management/administration _____ %
 Other* _____ % _____

3. Considering only your practice of bankruptcy law within the last ten years, please estimate the percentage of your time spent within your bankruptcy practice in each category. All entries combined should equal 100%.

<u>Representing</u> ----->	<u>Debtors</u>	<u>Creditors</u>	<u>Trustees**</u>	<u>Other</u>
Under Chapter 11	_____ %	_____ %	_____ %	_____ %
Under Chapter 7	_____ %	_____ %	_____ %	_____ %
Under Chapter 13	_____ %	_____ %	_____ %	_____ %
Other Chapter(s) (specify)	_____ %	_____ %	_____ %	_____ %

* If any single activity occupied more than 10% of your time, indicate the nature of that activity.

** Includes serving as a Trustee.

3.1 Summarize your bankruptcy experience and practice, if any.

4. Provide the following information on five significant cases in which you were involved during the past ten years.

4.1 Case name _____

Court and case citation number _____

Nature of case _____

Your role in case _____

Results of your activities in this case _____

Name

Address

Phone

Co-counsel _____

Opposing counsel _____

Judge _____

4.2 Case name _____

Court and case citation number _____

Nature of case _____

Your role in case _____

Results of your activities in this case _____

Name Address Phone

Co-counsel _____

Opposing
counsel _____

Judge _____

4.3 Case name _____

Court and case citation number _____

Nature of case _____

Your role in case _____

Results of your activities in this case _____

Name Address Phone

Co-counsel _____

Opposing
counsel _____

Judge _____

4.4 Case name _____

Court and case citation number _____

Nature of case _____

Your role in case _____

Results of your activities in this case _____

Name Address Phone

Co-counsel _____

Opposing
counsel _____

Judge _____

4.5 Case name _____

Court and case citation number _____

Nature of case _____

Your role in case _____

Results of your activities in this case _____

Name Address Phone

Co-counsel _____

Opposing
counsel _____

Judge _____

E. Education

1. Undergraduate institutions _____
Dates attended (mm/yyyy) _____
Degrees received and date graduated _____
Major fields of study _____

2. Law school name* _____
Law school address _____
Street _____
City/State/Zip Code _____

Class standing (if known) _____
Dates attended _____
Degree received and date graduated _____

3. Other law or graduate education received _____
Institution attended _____
Course of study _____
Degree received and date graduated _____

4. Describe any honors, awards, law review, certifications, or other activities or achievements.

* If selected for interview, you will be requested to provide a transcript from all law schools attended.

F. Court Admission

List the state court bars (or bars of United States Territories or the District of Columbia, if applicable) to which you are presently admitted to practice and the year of admission.*

State and bar number _____

Year admitted _____

State and bar number _____

Year admitted _____

State and bar number _____

Year admitted _____

State and bar number _____

Year admitted _____

List state court bars to which you are no longer admitted to practice, the years of admission,* and the reason you are no longer active

State and bar number _____

Years of admission _____

Reason no longer active _____

State and bar number _____

Years of admission _____

Reason no longer active _____

* If selected for interview, you will be requested to have the state bar administrative office(s) provide information concerning your membership in good standing and any applicable disciplinary history.

G. References

Please list three professional and three personal (not related to you) references. All references may be contacted upon receipt of completed application.

Note: Reference letters are not required. However, if an applicant submits any reference letters, they must be submitted with the original application.

PROFESSIONAL REFERENCES

1. _____
Name Title/Organization

Street/ City/State/Zip Code

() _____

Phone

Relationship Period of acquaintance

2. _____
Name Title/Organization

Street/ City/State/Zip Code

() _____

Phone

Relationship Period of acquaintance

3. _____
Name Title/Organization

Street/ City/State/Zip Code

() _____

Phone

Relationship Period of acquaintance

PERSONAL REFERENCES

1. _____
Name Title/Organization

Street/ City/State/Zip Code

() _____

Phone

Relationship Period of acquaintance

2. _____
Name Title/Organization

Street/ City/State/Zip Code

() _____

Phone

Relationship Period of acquaintance

3. _____
Name Title/Organization

Street/ City/State/Zip Code

() _____

Phone

Relationship Period of acquaintance

H. Additional Questions

Respond to each of the following inquiries where applicable. Indicate on additional attachment sheets the number of the Question you are answering and repeat the Question. Indicate which Questions, if any, are not applicable.

1. List all seminars, symposia, lectures, or legal meetings in the nature of continuing legal education that you have attended in the past three years, stating the date, place, sponsoring organization, and subjects of the programs.
2. List all seminars, symposia, lectures, or legal meetings in the last three years in which you have participated as a speaker, lecturer, or panelist. Identify your role and the subjects or topics.
3. If you have ever taught or lectured at a law school, please state the schools, dates and subjects, and your title, status, or role. If you have ever taught or lectured other than at a law school, please state the schools, dates, subjects, and your title, status, or role.
4. If you have, individually or with others, published articles, treatises, texts, handbooks, or other writings on legal or non-legal matters, provide the complete citation including publisher, date, title, and subject matter, and list the names, telephone numbers and current office addresses of any persons who co-authored, collaborated or assisted you in the writing or research. You may append pages of one or two representative selections (maximum of ten pages in total).
5. List any judicial or quasi-judicial offices you have held. Do not include any full-time position you hold at present. Please indicate whether the position was full-time or part-time, its location, the court or other entity served, your duties, and period of service.
6. Summarize your courtroom experience for the past ten years.
7. If a majority of your work is in consumer-related matters, how many 341 meetings have you facilitated or participated in over the last five years?
8. List any elective or appointive public offices you have ever held (other than judicial or quasi-judicial). Please indicate whether the position was full-time or part-time, its location, duties (unless obvious from the title), and the periods of service.
9. List all professional or occupational licenses (other than law) which you have ever held. Indicate the organizations that issued the licenses and whether the licenses are current.
10. If any professional or occupational licenses has ever been revoked or suspended or if your conduct has been the subject of other discipline or complaint to the licensing authority or its disciplinary body, state fully the facts and circumstances and the disposition. Use attached sheets. If you have nothing to report, check here _____.

11. Describe fully all non-law related professions or occupations pursued since your first admission to the bar, which are not already listed herein. Provide dates, employer names, duties, reasons for leaving, and any other pertinent information regarding the same.

12. Describe any civic, philanthropic, community, social, or public service activities in which you have been engaged during the past three years, including any posts or offices held and honors or awards received.

13. Has your license or right to practice law before or in any state, court agency, or other tribunal ever been denied, revoked, or suspended? If so, describe the facts and circumstances fully on attached sheets.

_____ Yes _____ No

14. Have you ever been formally censured, reprimanded, adjudged, held in contempt, or otherwise disciplined by any judge, court, agency, or tribunal? If so, describe the facts and circumstances fully on attached sheets.

_____ Yes _____ No

15. Has your professional conduct or ability been the subject of comment, favorable or unfavorable, in a written opinion of any judge, court, or other tribunal? If so, attach a copy of the opinion and state any facts and circumstances you feel appropriate. Use separate attached sheets.

_____ Yes _____ No

16. Have you been the subject of any complaint filed with or made to any attorney disciplinary body? If so, state fully the facts and circumstances and the disposition of the matter on attached sheets.

_____ Yes _____ No

17. Have you been the subject of any complaint filed with or made to any bar association or committee thereof? If so, state fully the facts and circumstances and the disposition of the matters on attached sheets.

_____ Yes _____ No

18. Have you sued or been sued by a client? If so, state fully on attached sheets the facts and circumstances, the court and case number, names, addresses and phone numbers of your attorney and all other counsel, and the disposition of the matter.

_____ Yes _____ No

19. Have you been a party to or otherwise personally involved (other than as counsel) in any litigation? If so, state on attached sheets the court, case number, the nature of the case and the circumstances of your involvement, the names and current phone numbers of your attorney and of any attorney representing an interest adverse to yours, and the disposition.

_____ Yes _____ No

20. Have you been the subject of favorable, unfavorable, or other comments in the media? If so, give particulars on attached sheets.

_____ Yes _____ No

21. Have you been the subject of a complaint, investigation, or other process arising from allegations that you engaged in any kind of harassment, discrimination, abusive behavior, retaliation, or other workplace or institutional wrongful conduct? If so, describe the facts and circumstances, including whether the allegations were substantiated, fully on attached sheets.

_____ Yes _____ No

22. Have you been required by any judge, court, agency, tribunal, or employer to attend or otherwise participate in any anger management, behavioral modification, or other cognitive or psychological treatment, therapy, or program? If so, describe the facts and circumstances fully on attached sheets.

_____ Yes _____ No

23. Have you been a witness to or known of reliable information regarding harassment, discrimination, abusive behavior, retaliation, or other workplace or institutional wrongful conduct, and either reported the conduct to appropriate authorities or decided not to report such conduct? If so, describe the facts and circumstances fully on attached sheets, including whether you were subject to any policies requiring reporting of such conduct at the time.

_____ Yes _____ No

24. As part of the Court of Appeals' consideration of a candidate's qualifications and suitability for appointment as a bankruptcy judge, candidates nominated for final consideration may be required to provide detailed financial data including copies of their federal income tax returns for the preceding three-year period in a preliminary disclosure statement.

Therefore, to the best of your knowledge, are you in compliance with the tax laws of the federal government and the state, county, and community of which you are a resident?

_____ Yes _____ No (Please explain.)

Have you timely filed appropriate tax returns as required by federal, state, local, and other government authorities?

_____ Yes _____ No (Please explain.)

Has a tax lien or other collection procedure ever been instituted against you by federal, state, or local authorities? If so, give particulars on attached sheets.

_____ Yes _____ No (Please explain.)

25. Do you currently use illegal drugs, abuse alcohol, or otherwise have a substance abuse problem? If yes, describe fully on attached sheets the circumstances, including the specific substance, duration, time period, and frequency of use, and whether you currently receive counseling or other rehabilitative treatment.

_____ Yes _____ No

26. Within the last five years, have you used illegal drugs, abused alcohol, or otherwise had a substance abuse problem? If yes, describe fully on attached sheets the circumstances, including the specific substance, duration, time period, and frequency of use, and whether you received counseling or other rehabilitative treatment.

_____ Yes _____ No

27. Have you ever been convicted of a felony or a misdemeanor, or been arrested for driving under the influence of alcohol or drugs? If so, describe fully on attached sheets the circumstances, including date, court, and disposition.

_____ Yes _____ No

28. Have you served in the Armed Forces of the United States? If yes, please indicate on a separate sheet the branch of service, dates of service, rank or rate and type of discharge received. If still a reserve or National Guard member, please give service, branch, unit, and present rank.

_____ Yes _____ No

29. Do you have the knowledge, skills, and ability to use a computer and related technology typically required for a bankruptcy law practice and office administration? If so, describe your computer capability fully on attached sheets.

_____ Yes _____ No

30. Do any clubs or private associations to which you belong have a discrimination clause or a history of barring membership to anyone based on race, color, gender, or religion?

_____ Yes _____ No

31. Have you read the *Code of Conduct for United States Judges*, from the Guide to Judiciary Policy, Volume 2: Ethics and Judicial Conduct, Part A: Codes of Conduct, Chapter 2: Code of Conduct for United States Judges (effective March 12, 2019) (available on [USCourts.gov](http://www.uscourts.gov) at <http://www.uscourts.gov/judges-judgeships/code-conduct-united-states-judges>)?

_____ Yes _____ No

32. Do you pledge to comply with the *Code of Conduct for United States Judges* if selected for this position?

_____ Yes _____ No

33. Have you read the *Judicial Conduct and Disability Act*, from the Guide to Judiciary Policy, Volume 2: Ethics and Judicial Conduct, Part E: Judicial Conduct and Disability Act and Related Materials, Chapter 3: Rules for Judicial-Conduct and Judicial Disability Proceedings (effective March 12, 2019) (available on [USCourts.gov](http://www.uscourts.gov) at <https://www.uscourts.gov/judges-judgeships/judicial-conduct-disability>)?

_____ Yes _____ No

34. Do you pledge to comply with the *Judicial Conduct and Disability Act* if selected for this position?

_____ Yes _____ No

35. Have you read the limitation upon federal employees on earning outside income prescribed under Title VI of the Ethics Reform Act of 1989 and its amendments?

_____ Yes _____ No

36. Do you pledge to comply with Title IV of the Ethics Reform Act of 1989 and its amendments if selected for this position?

_____ Yes _____ No

37. Please attach a brief statement of why you are seeking the position of United States Bankruptcy Judge and why you feel that you are qualified for the position. Include any special professional, occupational or other experience you have had that you believe should be considered by the screening committee. Describe how your educational, legal, administrative, and business experiences have prepared you for this position.

I. Health Information

1. What is your general present state of physical and mental health? _____

2. When was your last general physical examination? Finalists who have not had a general physical examination within the past two years may be asked to do so.

If you answer yes to questions 3, 4, or 5 below, please provide the particulars on attached sheets including the causes, dates, name, address, and telephone number of physicians or other professional counselors consulted, place, or confinement, and the present status of the conditions that caused the confinement or incapacitation.

3. Have you been hospitalized due to injury or illness (other than pregnancy or childbirth) within the past five years?

_____ Yes _____ No

4. During the past ten years, have you been prevented from working due to injury or illness, or otherwise incapacitated (except pregnancy or childbirth) for a period of more than ten days?

_____ Yes _____ No

5. Do you have any disabilities that would prevent you from performing the essential functions of a bankruptcy judge? If so, please provide details on attached sheets.

_____ Yes _____ No

J. Authorizations and Waivers

**Ninth Circuit
Bankruptcy Judge Application Form**

**Office of the Circuit Executive
P.O. Box 193939
San Francisco, CA 94119-3939
(415) 355-8900**

Professional Experience Inquiry

Authorization and Waiver Form

I hereby authorize the administrators of the disciplinary and inquiry bodies of any court, bar, or other association to disclose to the Merit Screening Committee, the Court-Council Committee, the Ninth Circuit Judicial Council, and the Court of Appeals all information contained in the files of such bodies concerning my present professional status, all complaints which have been made against me, together with the disposition thereof. I expressly waive whatever right I may have to confidentiality of the foregoing information.

I also hereby authorize the custodian of any records or information related to my application for bankruptcy judge to permit the examination or receipt of such records and/or information by anyone designated by the Merit Screening Committee, the Court-Council Committee, the Ninth Circuit Judicial Council, and the Court of Appeals.

Print or Type Name

Signature

Date Signed

**Ninth Circuit
Bankruptcy Judge Application Form**

**Office of the Circuit Executive
P.O. Box 193939
San Francisco, CA 94119-3939
(415) 355-8900**

Medical Authorization and Waiver

Physician's name _____

Address _____

Street

City/State/Zip Code

()

Phone

I hereby authorize any person designated by the Ninth Circuit or the Merit Screening Committee to communicate orally (including by telephone) and/or in writing with the physician or counselor named above with regard to my physical and mental condition and history, and any care, treatment and advice given me.

I hereby authorize and direct the physician or counselor named above to communicate to such person orally (including by telephone) such information regarding my physical and mental condition, care, treatment, and advice as may be sought by such person and to supply a written statement if requested by such person. For these purposes, I hereby waive any physician-patient privilege that may exist.

Name _____

Address _____

Street

City/State/Zip Code

()

Phone

Signature _____

**Ninth Circuit
Bankruptcy Judge Application Form**

**Office of the Circuit Executive
P.O. Box 193939
San Francisco, CA 94119-3939
(415) 355-8910**

RELEASE OF ALL CLAIMS FORM

STATE BAR OF CALIFORNIA

**(Only required of applicants who are members of the State Bar of California)*

I hereby release, discharge, and exonerate the State Bar of California, its agents and representatives, and any person so furnishing information from any and all liability of every nature and kind arising out of the furnishing or inspection of such documents, records, or the information or the investigation made by the State Bar of California.

The undersigned further waives all rights or benefits which the undersigned now has or in the future may have under the terms of § 1542 of the Civil Code of the State of California, which said section reads as follows:

A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release and that, if known by him or her, would have materially affected his or her settlement with the debtor or released party.

Print or Type Name

Signature

Date Signed

* Please note that if you are requested to provide proof of your membership and good standing in the State Bar of California you will be required to make a payment of \$25 with your request. Please do not send this release of claims form to the State Bar of California unless you are selected for an interview.

K. Application Verification

The questions answered and statements made in this application are true and correct or are based on information I believe to be true and correct.

I will submit to a physical and/or psychiatric examination at my expense if requested to do so. I also authorize my current and former employers and others who are familiar with my work and character to give any information they may have regarding me. I hereby waive any claims that I could make against them for information provided in connection with this application.

If selected for interview by the Merit Screening Committee, I will provide transcripts from all law schools attended and evidence of good standing in all state bars in which membership is held.

This application is being submitted by me personally and, if selected, I am willing to serve as a United States bankruptcy judge in the district where the vacancy occurs.

Signature

Date Signed