

JUDICIAL CONFERENCE OF THE UNITED STATES

WASHINGTON, D.C. 20544

HONORABLE ROBERT J. CONRAD, JR. Secretary

October 17, 2024

MEMORANDUM

To: Chief Justice of the United States

Associate Justices of the Supreme Court

Judge Robert J. Conrad, Jr. Relet J Consol J From:

Secretary

RE: TRANSMITTAL OF PROPOSED AMENDMENTS TO THE FEDERAL RULES OF

APPELLATE PROCEDURE

By direction of the Judicial Conference of the United States, pursuant to the authority conferred by 28 U.S.C. § 331, I transmit for the Court's consideration proposed amendments to Rules 6 and 39 of the Federal Rules of Appellate Procedure, which have been approved by the Judicial Conference. The Judicial Conference recommends that the amendments be adopted by the Court and transmitted to Congress pursuant to law.

For your assistance in considering the proposed amendments, I am transmitting (i) clean and blackline copies of the amended rules along with committee notes; (ii) an excerpt from the September 2024 report of the Committee on Rules of Practice and Procedure to the Judicial Conference; and (iii) an excerpt from the May 2024 report of the Advisory Committee on Appellate Rules.

Attachments

PROPOSED AMENDMENTS TO THE FEDERAL RULES OF APPELLATE PROCEDURE¹

1 2	Rule 6	. Appeal in a Bankruptcy Case <u>or</u> <u>Proceeding</u>
3	(a)	Appeal From a Judgment, Order, or Decree of a
4		District Court Exercising Original Jurisdiction in
5		a Bankruptcy Case or Proceeding. An appeal to a
6		court of appeals from a final judgment, order, or
7		decree of a district court exercising original
8		jurisdiction in a bankruptcy case or proceeding under
9		28 U.S.C. § 1334 is taken as any other civil appeal
10		under these rules. <u>But the reference in</u>
11		Rule 4(a)(4)(A) to the time allowed for motions
12		under certain Federal Rules of Civil Procedure must
13		be read as a reference to the time allowed for the
14		equivalent motions under the applicable Federal

¹ New material is underlined; matter to be omitted is lined through.

15		Rules of Bankruptcy Procedure, which may be
16		shorter than the time allowed under the Civil Rules.
17	(b)	Appeal From a Judgment, Order, or Decree of a
18		District Court or Bankruptcy Appellate Panel
19		Exercising Appellate Jurisdiction in a
20		Bankruptcy Case or Proceeding.
21		(1) Applicability of Other Rules. These rules
22		apply to an appeal to a court of appeals under
23		28 U.S.C. § 158(d)(1) from a final judgment,
24		order, or decree of a district court or
25		bankruptcy appellate panel exercising
26		appellate jurisdiction in a bankruptcy case or
27		proceeding under 28 U.S.C. § 158(a) or (b),
28		but with these qualifications:
29		* * * *
30		(C) when the appeal is from a bankruptcy
31		appellate panel, "district court," as

32			used	in any applicable rule, means
33			" <u>ban</u>	kruptcy appellate panel"; and
34			* :	* * * *
35	(2)	Addit	ional]	Rules. In addition to the rules
36		made	appli	cable by Rule 6(b)(1), the
37		follow	ing rul	les apply:
38		(A)	Moti	on for Rehearing.
39			* :	* * * *
40			(ii)	If a party intends to challenge
41				the order disposing of the
42				motion—or the alteration or
43				amendment of a judgment,
44				order, or decree upon the
45				motion—then the party, in
46				compliance accordance with
47				Rules 3(c) and 6(b)(1)(B),
48				must file a notice of appeal or
49				amended notice of appeal.

50	The notice or amended notice	ce
51	must be filed within the tin	1e
52	prescribed by Rule 4-	_
53	excluding Rules 4(a)(4) ar	ıd
54	4(b)—measured from the	1e
55	entry of the order disposing	of
56	the motion.	
57	* * * *	
58	(C) Making the Record Available.	
59	* * * *	
60	(ii) All parties must do whatev	er
61	else is necessary to enable the	1e
62	clerk to assemble the recon	rd
63	and make it available. Who	en
64	the record is made available	in
65	paper form, the court	of
66		
00	appeals may provide by ru	le

68	of the docket entries be mad
69	available in place of th
70	redesignated record. But a
71	any time during the appeal'
72	pendency, any party ma
73	request at any time during th
74	pendency of the appeal that
75	the redesignated record b
76	made available.
77	(D) Filing the Record. When the district
77 78	(D) Filing the Record. When the district clerk or bankruptcy-appellate-panel
78	clerk or bankruptcy-appellate-pane
78 79	clerk or bankruptcy-appellate-pane
78 79 80	clerk or bankruptcy-appellate-pane clerk has made the record available the circuit clerk must note that fact o
78 79 80 81	clerk or bankruptcy-appellate-pane clerk has made the record available the circuit clerk must note that fact o the docket. The date <u>as</u> noted on th
78 79 80 81 82	clerk or bankruptcy-appellate-pane clerk has made the record available the circuit clerk must note that fact of the docket. The date <u>as</u> noted on the docket serves as the filing date of the

86	(c)	Direct Appeal Review from a Judgment, Order,			
87		or D	ecree of	f a Bankruptcy Court by Permission	
88		Auth	orizatio	on Under 28 U.S.C. § 158(d)(2).	
89		(1)	Appli	icability of Other Rules. These rules	
90			apply	to a direct appeal from a judgment,	
91			order.	or decree of a bankruptcy court by	
92			permi	authorization under 28 U.S.C.	
93			§ 158	(d)(2), but with these qualifications:	
94			(A)	Rules 3–4, $5(a)(3)$ (except as	
95				provided in this Rule 6(c)), 6(a), 6(b),	
96				8(a), 8(c), 9–12, 13–20, 22–23, and	
97				24(b) do not apply; and	
98			(B)	as used in any applicable rule,	
99				"district court" or "district clerk"	
100				includes—to the extent appropriate—	
101				a bankruptcy court or bankruptcy	
102				appellate panel or its clerk; and	

103		(C)	the reference to "Rules 11 and
104			12(c)" in Rule 5(d)(3) must be read
105			as a reference to Rules 6(c)(2)(B) and
106			(C) .
107	(2)	Addit	ional Rules. In addition to the rules
108		made	applicable by Rule 6(c)(1), the
109		follow	ing rules apply:
110		(A)	Petition to Authorize a Direct
111			Appeal. Within 30 days after a
112			certification of a bankruptcy court's
113			order for direct appeal to the court of
114			appeals under 28 U.S.C. § 158(d)(2)
115			becomes effective under Bankruptcy
116			Rule 8006(a), any party to the appeal
117			may ask the court of appeals to
118			authorize a direct appeal by filing a
119			petition with the circuit clerk under
120			Bankruptcy Rule 8006(g).

121	<u>(B)</u>	Contents of the Petition. The
122		petition must include the material
123		required by Rule 5(b)(1) and an
124		attached copy of:
125		(i) the certification; and
126		(ii) the notice of appeal of the
127		bankruptcy court's judgment,
128		order, or decree filed under
129		Bankruptcy Rule 8003 or
130		<u>8004.</u>
131	<u>(C)</u>	Answer or Cross-Petition; Oral
132		Argument. Rule 5(b)(2) governs an
133		answer or cross-petition. Rule 5(b)(3)
134		governs oral argument.
135	<u>(D)</u>	Form of Papers; Number of
136		Copies; Length Limits. Rule 5(c)
137		governs the required form, number of
138		copies to be filed, and length limits

139		applicable to the petition and any
140		answer or cross-petition.
141	<u>(E)</u>	Notice of Appeal; Calculating
142		Time. A notice of appeal to the cour
143		of appeals need not be filed. The date
144		when the order authorizing the direct
145		appeal is entered serves as the date of
146		the notice of appeal for calculating
147		time under these rules.
148	<u>(F)</u>	Notification of the Order
148 149	<u>(F)</u>	Notification of the Order Authorizing Direct Appeal; Fees
	<u>(F)</u>	
149	<u>(F)</u>	Authorizing Direct Appeal; Fees
149 150	<u>(F)</u>	Authorizing Direct Appeal; Fees: Docketing the Appeal.
149 150 151	<u>(F)</u>	Authorizing Direct Appeal; Fees: Docketing the Appeal. (i) When the court of appeals
149150151152	<u>(F)</u>	Authorizing Direct Appeal; Fees: Docketing the Appeal. (i) When the court of appeals enters the order authorizing
149 150 151 152 153	<u>(F)</u>	Authorizing Direct Appeal; Fees: Docketing the Appeal. (i) When the court of appeals enters the order authorizing the direct appeal, the circuit

157		bankruptcy-appellate-panel
158		clerk of the entry.
159	<u>(ii)</u>	Within 14 days after the order
160		authorizing the direct appeal
161		is entered, the appellant must
162		pay the bankruptcy clerk any
163		unpaid required fee,
164		including:
165		• the fee required for the
166		appeal to the district court
167		or bankruptcy appellate
168		panel; and
169		• the difference between the
170		fee for an appeal to the
171		district court or
172		bankruptcy appellate
173		panel and the fee required

174	for an appeal to the court
175	of appeals.
176	(iii) The bankruptcy clerk must
177	notify the circuit clerk once
178	the appellant has paid all
179	required fees. Upon receiving
180	the notice, the circuit clerk
181	must enter the direct appeal on
182	the docket.
183 <u>(G)</u>	Stay Pending Appeal. Bankruptcy
184	Rule 8007 governs any stay pending
185	appeal.
186 (A) 9	(H) The Record on Appeal. Bankruptcy
187	Rule 8009 governs the record on
188	appeal. If a party has already filed a
189	document or completed a step
190	required to assemble the record for
191	the appeal to the district court or

192		bankruptcy appellate panel, the party
193		need not repeat that filing or step.
194	(B) (<u>I)</u>	Making the Record Available
195		Bankruptcy Rule 8010 governs
196		completing the record and making it
197		available. When the court of appeals
198		enters the order authorizing the direct
199		appeal, the bankruptcy clerk must
200		make the record available to the
201		circuit clerk.
202	(C)	Stays Pending Appeal. Bankruptey
203		Rule 8007 applies to stays pending
204		appeal.
205	(D) (J)	Duties of the Circuit Clerk. When
206		the bankruptcy clerk has made the
207		record available, the circuit clerk
208		must note that fact on the docket. The

date as noted on the docket serves as

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210	the filing date of the record. The
211	circuit clerk must immediately notify
212	all parties of that the filing date.
213	(E)(K) Filing a Representation Statement
214	Unless the court of appeals designate
215	another time, within 14 days afte
216	entry of the order granting permission
217	to appeal, authorizing the direct appea
218	is entered, the attorney for each party
219	to the appeal the attorney who sough
220	permission must file a statement with
221	the circuit clerk naming the partie
222	that the attorney represents on appeal
223	Committee Note
224 225 226 227 228 229 230	Subdivision (a). Minor stylistic and clarifying changes are made to subdivision (a). In addition, subdivision (a) is amended to clarify that, when a district court i exercising original jurisdiction in a bankruptcy case o proceeding under 28 U.S.C. § 1334, the time in which to file post-judgment motions that can reset the time to appear under Rule 4(a)(4)(A) is controlled by the Federal Rules of

Bankruptcy Procedure, rather than the Federal Rules of Civil Procedure.

The Bankruptcy Rules partially incorporate the relevant Civil Rules but in some instances shorten the deadlines for motions set out in the Civil Rules. *See* Fed. R. Bankr. P. 9015(c) (any renewed motion for judgment under Civil Rule 50(b) must be filed within 14 days of entry of judgment); Fed. R. Bankr. P. 7052 (any motion to amend or make additional findings under Civil Rule 52(b) must be filed within 14 days of entry of judgment); Fed. R. Bankr. P. 9023 (any motion to alter or amend the judgment or for a new trial under Civil Rule 59 must be filed within 14 days of entry of judgment).

Motions for attorney's fees in bankruptcy cases or proceedings are governed by Bankruptcy Rule 7054(b)(2)(A), which incorporates without change the 14-day deadline set in Civil Rule 54(d)(2)(B). Under Appellate Rule 4(a)(4)(A)(iii), such a motion resets the time to appeal only if the district court so orders pursuant to Civil Rule 58(e), which is made applicable to bankruptcy cases and proceedings by Bankruptcy Rule 7058.

Motions for relief under Civil Rule 60 in bankruptcy cases or proceedings are governed by Bankruptcy Rule 9024. Appellate Rule 4(a)(4)(A)(vi) provides that a motion for relief under Civil Rule 60 resets the time to appeal only if the motion is made within the time allowed for filing a motion under Civil Rule 59. In a bankruptcy case or proceeding, motions under Civil Rule 59 are governed by Bankruptcy Rule 9023, which, as noted above, requires such motions to be filed within 14 days of entry of judgment.

Civil Rule	Bankruptcy	Time Under		
	Rule	Bankruptcy Rule		
50(b)	9015(c)	14 days		
52(b)	7052	14 days		
59	9023	14 days		
54(d)(2)(B)	7054(b)(2)(A)	14 days		
60	9024	14 days		

Of course, the Bankruptcy Rules may be amended in the future. If that happens, the time allowed for the equivalent motions under the applicable Bankruptcy Rule may change.

Subdivision (b). Minor stylistic and clarifying changes are made to the header of subdivision (b) and to subdivision (b)(1). Subdivision (b)(1)(C) is amended to correct the omission of the word "bankruptcy" from the phrase "bankruptcy appellate panel." Stylistic changes are made to subdivision (b)(2).

Subdivision (c). Subdivision (c) was added to Rule 6 in 2014 to set out procedures governing discretionary direct appeals from orders, judgments, or decrees of the bankruptcy court to the court of appeals under 28 U.S.C. § 158(d)(2).

Typically, an appeal from an order, judgment, or decree of a bankruptcy court may be taken either to the district court for the relevant district or, in circuits that have established bankruptcy appellate panels, to the bankruptcy appellate panel for that circuit. 28 U.S.C. § 158(a). Final orders of the district court or bankruptcy appellate panel resolving appeals under § 158(a) are then appealable as of right to the court of appeals under § 158(d)(1).

That two-step appeals process can be redundant and time-consuming and could in some circumstances

potentially jeopardize the value of a bankruptcy estate by impeding quick resolution of disputes over disposition of estate assets. In the Bankruptcy Abuse Prevention and Consumer Protection Act of 2005, Congress enacted 28 U.S.C. § 158(d)(2) to provide that, in certain circumstances, appeals may be taken directly from orders of the bankruptcy court to the courts of appeals, bypassing the intervening appeal to the district court or bankruptcy appellate panel.

Specifically, § 158(d)(2) grants the court of appeals jurisdiction of appeals from any order, judgment, or decree of the bankruptcy court if (a) the bankruptcy court, the district court, the bankruptcy appellate panel, or all parties to the appeal certify that (1) "the judgment, order, or decree involves a question of law as to which there is no controlling decision of the court of appeals for the circuit or of the Supreme Court of the United States, or involves a matter of public importance"; (2) "the judgment, order, or decree involves a question of law requiring resolution of conflicting decisions"; or (3) "an immediate appeal from the judgment, order, or decree may materially advance the progress of the case or proceeding in which the appeal is taken" and (b) "the court of appeals authorizes the direct appeal of the judgment, order, or decree." 28 U.S.C. § 158(d)(2).

Bankruptcy Rule 8006 governs the procedures for certification of a bankruptcy court order for direct appeal to the court of appeals. Among other things, Rule 8006 provides that, to become effective, the certification must be filed in the appropriate court, the appellant must file a notice of appeal of the bankruptcy court order to the district court or bankruptcy appellate panel, and the notice of appeal must become effective. Fed. R. Bankr. P. 8006(a). Once the certification becomes effective under Rule 8006(a), a petition seeking authorization of the direct appeal must be filed with the court of appeals within 30 days. *Id.* 8006(g).

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Rule 6(c) governs the procedures applicable to a petition for authorization of a direct appeal and, if the court of appeals grants the petition, the initial procedural steps required to prosecute the direct appeal in the court of appeals.

As promulgated in 2014, Rule 6(c) incorporated by reference most of Rule 5, which governs petitions for permission to appeal to the court of appeals from otherwise non-appealable district court orders. It has become evident over time, however, that Rule 5 is not a perfect fit for direct appeals of bankruptcy court orders to the courts of appeals. The primary difference is that Rule 5 governs discretionary appeals from district court orders that are otherwise nonappealable, and an order granting a petition for permission to appeal under Rule 5 thus initiates an appeal that otherwise would not occur. By contrast, an order granting a petition to authorize a direct appeal under Rule 6(c) means that an appeal that has already been filed and is pending in the district court or bankruptcy appellate panel will instead be heard in the court of appeals. As a result, it is not always clear precisely how to apply the provisions of Rule 5 to a Rule 6(c) direct appeal.

The new amendments to Rule 6(c) are intended to address that problem by making Rule 6(c) self-contained. Thus, Rule 6(c)(1) is amended to provide that Rule 5 is not applicable to Rule 6(c) direct appeals except as specified in Rule 6(c) itself. Rule 6(c)(2) is also amended to include the substance of applicable provisions of Rule 5, modified to apply more clearly to Rule 6(c) direct appeals. In addition, stylistic and clarifying amendments are made to conform to other provisions of the Appellate Rules and Bankruptcy Rules and to ensure that all the procedures governing direct appeals of bankruptcy court orders are as clear as possible to both courts and practitioners.

 Subdivision (c)—Title. The title of subdivision (c) is amended to change "Direct Review" to "Direct Appeal" and "Permission" to "Authorization," to be consistent with the language of 28 U.S.C. § 158(d)(2). In addition, the language "from a Judgment, Order, or Decree of a Bankruptcy Court" is added for clarity and to be consistent with other subdivisions of Rule 6.

Subdivision (c)(1). The language of the first sentence is amended to be consistent with the title of subdivision (c). In addition, the list of rules in subdivision (c)(1)(A) that are inapplicable to direct appeals is modified to include Rule 5, except as provided in subdivision (c) itself. Subdivision (c)(1)(C), which modified certain language in Rule 5 in the context of direct appeals, is therefore deleted. As set out in more detail below, the provisions of Rule 5 that are applicable to direct appeals have been added, with appropriate modifications to take account of the direct appeal context, as new provisions in subdivision (c)(2).

Subdivision (c)(2). The language "to the rules made applicable by (c)(1)" is added to the first sentence for consistency with other subdivisions of Rule 6.

Subdivision (c)(2)(A). Subdivision (c)(2)(A) is a new provision that sets out the basic procedure and timeline for filing a petition to authorize a direct appeal in the court of appeals. It is intended to be substantively identical to Bankruptcy Rule 8006(g), with minor stylistic changes made in light of the context of the Appellate Rules.

Subdivision (c)(2)(B). Subdivision (c)(2)(B) is a new provision that specifies the contents of a petition to authorize a direct appeal. It provides that, in addition to the material required by Rule 5, the petition must include an attached copy of the certification under § 158(d)(2) and a

copy of the notice of appeal to the district court or bankruptcy appellate panel.

Subdivision (c)(2)(C). Subdivision (c)(2)(C) is a new provision. For clarity, it specifies that answers or crosspetitions are governed by Rule 5(b)(2) and oral argument is governed by Rule 5(b)(3).

 Subdivision (c)(2)(D). Subdivision (c)(2)(D) is a new provision. For clarity, it specifies that the required form, number of copies to be filed, and length limits applicable to the petition and any answer or cross-petition are governed by Rule 5(c).

Subdivision (c)(2)(E). Subdivision (c)(2)(E) is a new provision that incorporates the substance of Rule 5(d)(2), modified to take into account that the appellant will already have filed a notice of appeal to the district court or bankruptcy appellate panel. It makes clear that a second notice of appeal to the court of appeals need not be filed, and that the date of entry of the order authorizing the direct appeal serves as the date of the notice of appeal for the purpose of calculating time under the Appellate Rules.

Subdivision (c)(2)(F). Subdivision (c)(2)(F) is a new provision. It largely incorporates the substance of Rules 5(d)(1)(A) and 5(d)(3), with some modifications.

Subdivision (c)(2)(F)(i) now requires that when the court of appeals enters an order authorizing a direct appeal, the circuit clerk must notify the bankruptcy clerk and the clerk of the district court or the clerk of the bankruptcy appellate panel of the order.

Subdivision (c)(2)(F)(ii) requires that, within 14 days of entry of the order authorizing the direct appeal, the appellant must pay the bankruptcy clerk any required filing

or docketing fees that have not yet been paid. Thus, if the appellant has not yet paid the required fee for the initial appeal to the district court or bankruptcy appellate panel, the appellant must do so. In addition, the appellant must pay the bankruptcy clerk the difference between the fee for the appeal to the district court or bankruptcy appellate panel and the fee for an appeal to the court of appeals, so that the appellant has paid the full fee required for an appeal to the court of appeals.

Subdivision (c)(2)(F)(iii) then requires the bankruptcy clerk to notify the circuit clerk that all fees have been paid, which triggers the circuit clerk's duty to docket the direct appeal.

Subdivision (c)(2)(G). Subdivision (c)(2)(G) was formerly subdivision (c)(2)(C). It is substantively unchanged, continuing to provide that Bankruptcy Rule 8007 governs stays pending appeal, but reflects minor stylistic revisions.

Subdivision (c)(2)(H). Subdivision (c)(2)(H) was formerly subdivision (c)(2)(A). It continues to provide that Bankruptcy Rule 8009 governs the record on appeal, but adds a sentence clarifying that steps taken to assemble the record under Bankruptcy Rule 8009 before the court of appeals authorizes the direct appeal need not be repeated after the direct appeal is authorized.

Subdivision (c)(2)(I). Subdivision (c)(2)(I) was formerly subdivision (c)(2)(B). It continues to provide that Bankruptcy Rule 8010 governs provision of the record to the court of appeals. It adds a sentence clarifying that when the court of appeals authorizes the direct appeal, the bankruptcy clerk must make the record available to the court of appeals.

447 **Subdivision** (c)(2)(J). Subdivision (c)(2)(J) was 448 formerly subdivision (c)(2)(D). It is unchanged other than a stylistic change and being renumbered. 449 450 Subdivision (c)(2)(K). Subdivision (c)(2)(K) was formerly subdivision (c)(2)(E). Because any party may file a 451 petition to authorize a direct appeal, it is modified to provide 452 that the attorney for each party—rather than only the 453 attorney for the party filing the petition—must file a 454 representation statement. In addition, the phrase "granting 455 permission to appeal" is changed to "authorizing the direct 456 appeal" to conform to the language used throughout the rest 457 of subdivision (c), and a stylistic change is made. 458

PROPOSED AMENDMENTS TO THE FEDERAL RULES OF APPELLATE PROCEDURE¹

I	Ruie .	59. Costs
2	(a)	Against Whom Assessed Allocating Costs Among
3		the Parties. The following rules apply to allocating
4		taxable costs among the parties unless the law
5		provides, the parties agree, or the court orders
6		otherwise:
7		(1) if an appeal is dismissed, costs are taxed
8		allocated against the appellant, unless the
9		parties agree otherwise;
10		(2) if a judgment is affirmed, costs are taxed
11		allocated against the appellant;
12		(3) if a judgment is reversed, costs are taxed
13		allocated against the appellee;

¹ New material is underlined; matter to be omitted is lined through.

14		(4) if a judgment is affirmed in part, reversed in
15		part, modified, or vacated, each party bears
16		its own costs costs are taxed only as the court
17		orders .
18	<u>(b)</u>	Reconsideration. Once the allocation of costs is
19		established by the entry of judgment, a party may
20		seek reconsideration of that allocation by filing a
21		motion in the court of appeals within 14 days after
22		the entry of judgment. But issuance of the mandate
23		under Rule 41 must not be delayed awaiting a
24		determination of the motion. The court of appeals
25		retains jurisdiction to decide the motion after the
26		mandate issues.
27	<u>(c)</u>	Costs Governed by Allocation Determination. The
28		allocation of costs applies both to costs taxable in the
29		court of appeals under Rule 39(e) and to costs taxable
30		in district court under Rule 39(f).

31	(b) (d)	Costs	For and Against the United States. Costs for
32		or aga	inst the United States, its agency, or officer
33		will be	e assessed allocated under Rule 39(a) only if
34		author	zed by law.
35	<u>(e)</u>	Costs	on Appeal Taxable in the Court of Appeals.
36		<u>(1)</u>	Costs Taxable. The following costs on
37			appeal are taxable in the court of appeals for
38			the benefit of the party entitled to costs:
39			(A) the production of necessary copies of
40			a brief or appendix, or copies of
41			records authorized by Rule 30(f);
42			(B) the docketing fee; and
43			(C) a filing fee paid in the court of
44			appeals.
45	(e)	<u>(2)</u>	Costs of Copies. Each court of appeals must,
46			by local rule, set fix the maximum rate for
47			taxing the cost of producing necessary copies
48			of a brief or appendix, or copies of records

49		authorized by Rule 30(f). The rate must not
50		exceed that generally charged for such work
51		in the area where the clerk's office is located
52		and should encourage economical methods of
53		copying.
54	(d)	(3) Bill of Costs: Objections; Insertion in
55		Mandate.
56		(1) (A) A party who wants costs taxed in the
57		court of appeals must—within 14
58		days after entry of judgment is
59		entered—file with the circuit clerk
60		and serve an itemized and verified bill
61		of those costs.
62		(2) (B) Objections must be filed within 14
63		days after service of the bill of costs
64		is served, unless the court extends the
65		time.

66		(3)	<u>(C)</u>	_1 ne cie	rk must p	orepai	e an	a certify	y an
67				itemize	d stater	nent	of	costs	for
68				insertio	n in the 1	nanda	ite, b	ut issua	ınce
69				of the r	nandate	must	not	be dela	yed
70				for taxii	ng costs.	If the	mar	idate iss	sues
71				before	costs are	fina	11y c	letermii	ned,
72				the dis	trict cle	rk n	nust–	–upon	the
73				circuit	clerk's	req	uest-	—add	the
74				stateme	nt of cos	ts, or	any a	amendn	nent
75				of it, to	the man	date.			
76	(e) (<u>f)</u>	Costs o	n Ap	peal Tax	xable in	the]	Distr	rict Co	urt.
77		The fol	lowing	g costs o	on appea	al are	taxa	able in	the
78		district	court	for the b	enefit of	the p	arty	entitle	d to
79		costs -un	der th	is rule :					
80				* * *	* * *				
81			•	Commit	tee Note				
82 83 84 85	a distr	In City of the Suplect court isted in s	reme (to alte	er a cour	d that Ru t of appe	ıle 39 als'a	does lloca	not per ation of	rmit the

observed that "the current Rules and the relevant statutes could specify more clearly the procedure that such a party should follow to bring their arguments to the court of appeals...." *Id.* at 1638. The amendment does so. Stylistic changes are also made.

Subdivision (a). Both the heading and the body of the Rule are amended to clarify that allocation of the costs among the parties is done by the court of appeals. The court may allow the default rules specified in subdivision (a) to operate based on the judgment, or it may allocate them differently based on the equities of the situation. Subdivision (a) is not concerned with calculating the amounts owed; it is concerned with who bears those costs, and in what proportion. The amendment also specifies a default for mixed judgments: each party bears its own costs.

Subdivision (b). The amendment specifies a procedure for a party to ask the court of appeals to reconsider the allocation of costs established pursuant to subdivision (a). A party may do so by motion in the court of appeals within 14 days after the entry of judgment. The mandate is not stayed pending resolution of this motion, but the court of appeals retains jurisdiction to decide the motion after the mandate issues.

Subdivision (c). Codifying the decision in *Hotels.com*, the amendment also makes clear that the allocation of costs by the court of appeals governs the taxation of costs both in the court of appeals and in the district court.

Subdivision (d). The amendment uses the word "allocated" to match subdivision (a).

Subdivision (e). The amendment specifies which 116 costs are taxable in the court of appeals and clarifies that the 117 procedure in that subdivision governs the taxation of costs 118 taxable in the court of appeals. The docketing fee, currently 119 \$500, is established by the Judicial Conference of the United 120 States pursuant to 28 U.S.C. § 1913. The reference to filing 121 fees paid in the court of appeals is not a reference to the \$5 122 fee paid to the district court required by 28 U.S.C. § 1917 for 123 filing a notice of appeal from the district court to the court of 124 appeals. Instead, the reference is to filing fees paid in the 125 126 court of appeals, such as the fee to file a notice of appeal from a bankruptcy appellate panel. 127

Subdivision (f). The provisions governing costs taxable in the district court are lettered (f) rather than (e). The filing fee referred to in this subdivision is the \$5 fee required by 28 U.S.C. § 1917 for filing a notice of appeal from the district court to the court of appeals.

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Agenda E-19 Rules September 2024

REPORT OF THE JUDICIAL CONFERENCE

COMMITTEE ON RULES OF PRACTICE AND PROCEDURE

TO THE CHIEF JUSTICE OF THE UNITED STATES AND MEMBERS OF THE JUDICIAL CONFERENCE OF THE UNITED STATES:

The Committee on Rules of Practice and Procedure (Standing Committee or Committee) met on June 4, 2024. All members participated.

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FEDERAL RULES OF APPELLATE PROCEDURE

Rules Recommended for Approval and Transmission

The Advisory Committee on Appellate Rules recommended for final approval proposed amendments to Appellate Rules 6 and 39. The Standing Committee unanimously approved the Advisory Committee's recommendations, with minor stylistic changes to each rule.

Rule 6 (Appeal in a Bankruptcy Case)

The proposed amendments to Rule 6 make changes to Rule 6(a) (dealing with appeals from judgments of a district court exercising original jurisdiction in a bankruptcy case) to clarify the time limits for post-judgment motions in bankruptcy cases and Rule 6(c) (dealing with direct appeals from bankruptcy court to the court of appeals) to clarify the procedures for direct appeals. The amendments also make stylistic changes to those provisions and to Rule 6(b) (dealing with appeals from a district court or bankruptcy appellate panel exercising appellate jurisdiction in a bankruptcy case). The proposed amendments to Rule 6(a) clarify the time for filing certain motions that reset the time to appeal in cases where a district court is exercising

NOTICE

NO RECOMMENDATIONS PRESENTED HEREIN REPRESENT THE POLICY OF THE JUDICIAL CONFERENCE UNLESS APPROVED BY THE CONFERENCE ITSELF.

Excerpt from the September 2024 Report of the Committee on Rules of Practice and Procedure

original jurisdiction in a bankruptcy case. The proposed amendments provide that the reference in Appellate Rule 4(a)(4)(A) to the time allowed for motions under certain Federal Rules of Civil Procedure must be read in such cases as a reference to the time allowed for the equivalent motions under the applicable Federal Rules of Bankruptcy Procedure. The proposed amendments to Rule 6(c) clarify the procedure for handling direct appeals from a bankruptcy court to a court of appeals under 28 U.S.C. § 158(d)(2), providing more detail about how parties should handle initial procedural steps in the court of appeals once authorization for a direct appeal is granted. The Rule 6(c) amendments dovetail with the proposed amendment to Bankruptcy Rule 8006(g) described later in this report.

Rule 39 (Costs on Appeal)

The proposed amendments are in response to the Supreme Court's holding in *City of San Antonio v. Hotels.com*, 141 S. Ct. 1628 (2021). In that case, the Court held that Rule 39, which governs costs on appeal, does not permit a district court to alter a court of appeals' allocation of costs, even those costs that are taxed by the district court.

The proposed amendments clarify the distinction between (1) the court of appeals deciding which parties must bear the costs and, if appropriate, in what percentages and (2) the court of appeals, the district court, or the clerk of either court calculating and taxing the dollar amount of costs upon the proper party or parties. In addition, the proposed amendments codify the holding in *Hotels.com*, providing that the allocation of costs by the court of appeals applies to both the costs taxable in the court of appeals and the costs taxable in the district court, and establish a clearer procedure that a party should follow if it wants to ask the court of appeals to reconsider the allocation of costs. Finally, the proposed amendments clarify and improve Rule 39's parallel structure.

Excerpt from the September 2024 Report of the Committee on Rules of Practice and Procedure

Recommendation: That the Judicial Conference approve the proposed amendments to Appellate Rules 6 and 39, as set forth in Appendix A, and transmit them to the Supreme Court for consideration with a recommendation that they be adopted by the Court and transmitted to Congress in accordance with the law.

* * * * *

Respectfully submitted,

John D. Bates, Chair

Paul Barbadoro Elizabeth J. Cabraser Louis A. Chaiten William J. Kayatta, Jr. Edward M. Mansfield Troy A. McKenzie Patricia Ann Millett Lisa O. Monaco Andrew J. Pincus D. Brooks Smith Kosta Stojilkovic Jennifer G. Zipps